



## Malaysian Investor Relations Association Berhad

(Company no. : 777008-W)

Annexe Building, Bursa Malaysia Berhad, Exchange Square, Bukit Kewangan,  
50200 Kuala Lumpur, Malaysia

Tel : +603 – 2034 7677 / 7415 Fax : +603 – 2732 0771

Email : [mira@bursamalaysia.com](mailto:mira@bursamalaysia.com) website : [www.mira.com.my](http://www.mira.com.my)

\*\*\*\*\*

## Workshop on Regulatory Environment for Listed Corporations – Pre & Post Listing

- ❖ Regulatory Environment Pre-Initial Public Offering (IPO)
- ❖ Continuous Listing Obligations of Listed Corporations

*Speaker : Mr. Chee Kai Mun  
Director, Compliance & Advisory  
Tricor Corporate Services Sdn Bhd*

|       |  |
|-------|--|
| Date  | : 25 August 2011, Thursday   |
| Time  | : 9.00 am to 1.00 pm   |
| Venue | : Theatrette, Lower Ground Floor, Bursa Malaysia Berhad<br>Exchange Square, Bukit Kewangan, 50200 Kuala Lumpur |

# Topic Outlines

## Regulatory Environment for Listed Corporations – Pre & Post Listing

### Regulatory Environment Pre-IPO

- ❖ Regulatory Framework
- ❖ Board Structure
- ❖ Roles of Regulatory Bodies
- ❖ Regulatory Approach
- ❖ Entry Requirements
- ❖ IPO Rules
- ❖ Bumiputra Equity Interest
- ❖ Sponsorship Regime of ACE Market
- ❖ Special Purpose Acquisition Company

### Continuous Listing Obligations of Listed Corporations

- ❖ Continuing Listing Criteria
  - Public Shareholding Spread
  - Default in Payment (PN1/ GN5)
  - Cash Company (PN16/ GN2)
  - Financial Condition (PN17/ GN3)
- ❖ Corporate Governance Framework
- ❖ Financial Reporting
- ❖ Transactions & Key Obligations
- ❖ Corporate Disclosure Policy - Disclosure of Material Information

## Speaker's Profile

**Mr. Chee Kai Mun**, Director, Compliance & Advisory of Tricor Corporate Services Sdn Bhd, is responsible for providing advisory services on compliance matters relating to the Listing Requirements of Bursa Malaysia Securities Berhad (“Bursa Malaysia”). He is a former Officer of Bursa Malaysia (Listing Division). He has 10 years of experience in regulating listed companies pertaining to compliance with the Listing Requirements during his tenure with Bursa Malaysia. Prior to his service at Bursa Malaysia, he was attached with a corporate finance division of a listed company for 3 years and has been an Analyst for the fund management arm of Southern Bank Berhad for 2 years. He is an Associate Member of the Chartered Institute of Management Accountants (CIMA).

## Programme Table

|                     |  |
|---------------------|--|
| 8.45 am – 9.00 am   | Registration   |
| 9.00 am – 10.30 am  | <b>Regulatory Environment Pre-IPO</b>                        |
| 10.30 am – 10.45 am | Q & A session  |
| 10.45 am – 11.00 am | Break  |
| 11.00 am – 12.30 pm | <b>Continuous Listing Obligations of Listed Corporations</b> |
| 12.30 pm – 12.45 pm | Q & A session  |
| 12.45 pm            | End  |

# Malaysian Investor Relations Association Berhad

(Company no. : 777008-W)

Annexe Building, Bursa Malaysia Bhd, Exchange Square, Bukit Kewangan,  
50200 Kuala Lumpur, Malaysia

Tel : +603 – 2034 7677 / 7415 Fax : +603 – 2732 0771

Email : [mira@bursamalaysia.com](mailto:mira@bursamalaysia.com) website : [www.mira.com.my](http://www.mira.com.my)

## Registration Form

(25 August 2011)

|   | Name of participant | Designation |
|---|---------------------|-------------|
| 1 |                     |             |
| 2 |                     |             |
| 3 |                     |             |
| 4 |                     |             |

Name of company : .....

Address : .....

Tel. no. : ..... Fax no. : ..... Email : .....

Contact person : ..... Tel no. : .....

(Kindly make copies if more forms are needed)

### Fee :

| MIRA Member                             | Non-MIRA Member |
|---|-----------------|
| RM300.00 (redeemable via MIRA vouchers) | RM450.00        |

(inclusive of refreshments)

Cancellation : There is no refund for cancellation or non-attendance but substitutes from the same company are allowed. Admittance will not be permitted unless payment is received.

Reservation : The organiser reserves the right to make changes to the venue, date, speaker, fee and cancellation of the seminar if warranted by circumstances beyond the organiser's control.

Registration & enquiries : **Malaysian Investor Relations Association (MIRA)**

#### Contact Persons :

(1) Alex Lee  
Tel. no. : +603 – 2034 7491  
Email : alexlee@bursamalaysia.com

(2) Zulaika Salleh  
Tel. no. : +603 – 2034 7415  
Email : zulaika@bursamalaysia.com

Closing date : **22 August 2011**

Payment Enclosed is cheque/bank draft/MIRA voucher no. .... for the sum of RM..... made payable to "Malaysian Investor Relations Association".

**Registration is on first-come-first-serve basis. All registrations must be accompanied with the full payment. Registration made by fax must be followed immediately by payment.**