



## Malaysian Investor Relations Association Berhad

(Company no. : 777008-W)

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## Workshop On Regulatory Framework For Listed Corporation – Pre & Post Listing

- ❖ Regulatory environment pre-IPO
- ❖ Continuous listing obligations of listed corporation

*Speaker : Mr. Chee Kai Mun, Associate Director – Compliance &  
Advisory, Tricor Corporate Services Sdn Bhd*

Date	: 30th September 2010, Thursday
Time	: 9.00 am to 1.00 pm
Venue	: Theatre Room, Lower Ground Floor, Bursa Malaysia Berhad, Exchange Square, Bukit Kewangan, 50200 KL

# Topic Outlines

## Regulatory Framework For Listed Corporation – Pre & Post Listing

### ❖ Regulatory environment pre-IPO

- ❖ Regulatory framework
- ❖ Roles of Securities Commission Malaysia and Bursa Malaysia
- ❖ Regulatory approach
- ❖ Board structure
- ❖ Entry requirements for Main Market and ACE Market
- ❖ IPO rules for Main Market and ACE Market
- ❖ Bumiputra equity interest
- ❖ Sponsorship regime of ACE Market
- ❖ Special purpose acquisition company

### ❖ Continuous listing obligations of listed corporation

- ❖ Regulatory framework
- ❖ Public shareholding spread
- ❖ Default in payment (PN1)
- ❖ Cash company (PN16)
- ❖ Financial condition (PN17)
- ❖ Corporate governance framework
- ❖ Financial reporting
- ❖ Transactions & key obligations
- ❖ Financial assistance
- ❖ Corporate disclosure policy (immediate announcement of material information)

## Speaker's Profile



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*The Business Enablers*

**MR. CHEE KAI MUN**, Associate Director – Compliance & Advisory, joined Tricor Corporate Services Sdn Bhd (“TRICOR”) in July 2009. Prior to joining TRICOR, he was attached to the Listing Division of Bursa Malaysia Securities Berhad (“the Exchange”) and has 10 years of experience in regulating compliance with the Listing Requirements by companies listed on the Exchange. Prior to his service at the Exchange, he was attached to a corporate finance division of a listed company for 3 years and has been an Analyst for the fund management arm of Southern Bank Berhad for 2 years. He is an Associate Member of the Chartered Institute of Management Accountants (CIMA).

## Programme Table

8.30 – 9.00 am	Registration
9.00 – 10.20 am	<b>Regulatory environment pre-IPO</b>
10.20 – 10.30 am	Q & A session
10.30 – 10.50 am	Coffee break
10.50 am – 12.45 pm	<b>Continuous listing obligations of listed corporation</b>
12.45 pm – 12.55 pm	Q & A session
1.00 pm	End

# Registration Form

(30/09/2010)

	Name of participant	MIRA membership no.
1		
2		
3		
4		

Name of company : .....

Address : .....

.....

Tel. no. : ..... Fax no. : ..... Email : .....

Contact person : ..... Tel no. : .....

(Kindly make copies if more forms are needed)

**Fee :**

MIRA Member	Non-MIRA Member
RM300.00 (redeemable via MIRA vouchers)	RM450.00

(inclusive of refreshments)

**Cancellation :** There is no refund for cancellation or non-attendance but substitutes from the same company are allowed. Admittance will not be permitted unless payment is received.

**Reservation :** The organiser reserves the right to make changes to the venue, date, speaker, fee and cancellation of the seminar if warranted by circumstances beyond the organiser's control.

**Registration & enquiries :** **Malaysian Investor Relations Association (MIRA)**

Contact Persons :

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**Closing date :** **27 September 2010**

**Payment** Enclosed is cheque/bank draft/MIRA voucher no. .... for the sum of RM..... made payable to "Malaysian Investor Relations Association".

***Registration is on first-come-first-serve basis. All registrations must be accompanied with the full payment. Registration made by fax must be followed immediately by payment.***