

Webinar: Securities Commission (SC) Guidelines on The Conduct of Directors of Listed Corporation and Their Subsidiaries - Their Implications to The Listed Corporation, its Directors, Directors of Its Subsidiaries and Management, Including what need to Be Done



SPEAKER

Mr Lee Min On

DATE / TIME

23 September 2021 (Thursday)
9:00 AM - 1:00 PM

WHO SHOULD ATTEND

Directors of listed corporations and their subsidiaries, Chief Executive Officers, Chief Financial Officers, Chief Operating Officers, Company Secretaries, Heads of Legal, Sustainability Officers, Risk Officers, Integrity Officers, Internal Auditors, Professional service provider on governance, risk and controls, Internal Auditors, Corporate Governance Advocates

PROGRAMME OBJECTIVES

The Training will focus on the 5 Chapters set out in the SC Guidelines, augmented by relevant portions of the Companies Act 2016, the Capital Markets & Services Act 2007, Section 17A of the Malaysian Anti-Corruption Commission Act 2009, the updated Malaysian Code on Corporate Governance 2021, and the Corporate Governance Guide 3rd Edition, for better clarification. Case studies on what went wrong in certain listed corporations will also be discussed in relation to these SC Guidelines. The learning outcomes are as follows:

- Apprises participants on what the SC Guidelines entail, including the rationale thereof;
- Informs participants on the implications to the Directors and Management of the listed corporation and its subsidiaries; and
- Key actions that should be considered by the Board and Management to enhance corporate governance in the Group, including demonstrating how the SC Guidelines are being complied with.

PROGRAMME OUTLINE

9.00

- Introduction to the SC Guidelines
- Overview of the Guidelines & unpacking the 5 Chapters - the rationale, purpose & terminologies under Chapters 1 and 2
- Chapter 3 Conduct requirements, covering the conduct of Directors and managing conflict of interest situations, including practical examples

9.30

- Chapter 4 Maintaining proper records and accounts, including the implications on preparation of financial statements, explaining transactions and availing documents for audit, including case studies
- Chapter 5 Group Governance - group-wide framework established between the listed corporation and its subsidiaries to facilitate the oversight of financial and non-financial performance, business strategies and priorities, risk management, sustainability and corporate governance policies and practices

10.30

Break

11.00

- Key elements of a Group Governance Framework - rationale of the Framework and what it entails
- Relevant sections of the Companies Act 2016, Bursa's Main Market Listing Requirements, the Capital Markets & Services Act 2007, Section 17A of the Malaysian Anti-Corruption Commission Act 2009, the updated Malaysian Code on Corporate Governance 2021 and the Corporate Governance Guide 3rd edition will be referred to on how group-wide governance practices may be applied
- Implications of the SC Guidelines to the listed corporation and its subsidiaries, including pertinent documentation to demonstrate application of the SC Guidelines
- Relevant case studies

12.30

**Questions & Comments
End of Session**

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SPEAKER



LEE MIN ON

LEE MIN ON speaks at conferences and seminars organised by various professional/ regulatory bodies and customised in-house training, covering sustainability, corporate governance, enterprise risk management, fraud risk management, internal controls, risk-based internal audit, listing requirements of Bursa Malaysia and other emerging topics and regulatory requirements.

Min On is a Chartered Accountant of MIA, a Certified Public Accountant of MICPA and a Fellow Member of the Institute of Internal Auditors Malaysia. Min On is Chairman of the Audit Committee at the Institute of Internal Auditors Malaysia and an Independent Non-Executive Director of several main market listed companies. He retired as Risk Consulting Partner of KPMG Malaysia in 2015 after serving for 37 years. He co-authored Bursa Malaysia's Corporate Governance Guide (1st and 2nd editions).

Registration

RM350 for MIRA members - (redeemable via MIRA vouchers)
RM550 for non-MIRA members

Click here to [download registration form](#).

Closing date for registration: **Monday, 20 Sept 2021**

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